

S/N Unknown

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Craig A. Nelson et al.	Examiner:	Unknown
Serial No.:	Unknown	Group Art Unit:	Unknown
Filed:	Herewith	Docket:	400.072US3
Title:	MODEM WITH FIRMWARE UPGRADE FEATURE		

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for review in connection with the above-identified patent application. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner be returned to the Applicants.

In accordance with 37 C.F.R. §1.98(d), copies of the listed documents are not provided as these references were previously cited by or submitted to the U.S. Patent Office in connection with Applicants' prior U.S. application, Serial No. 08/368,291, filed on January 3, 1995, which is relied upon for an earlier filing date under 35 U.S.C. §120.

Applicants respectfully request consideration of these references during prosecution of the above-identified matter. The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

CRAIG A. NELSON ET AL.

By their Representatives,

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Date

October 10, 2001

By

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Date of Deposit: October 10, 2001

This paper or fee is being deposited on the date indicated above with the United States Postal Service pursuant to 37 CFR 1.10, and is addressed to The Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Form 1449*	Atty. Docket No.: 400.072US3	Serial No. Unknown
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	Applicant: Craig A. Nelson et al.	
	Filing Date: Herewith	Group: Unknown

## U.S. PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
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	4,725,977	02/16/1988	Isumi, H.D., et al.	364	900	02/28/86
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## FOREIGN PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes   No
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## OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

**Examiner Initial	"AT&T Microelectronics T7540 Digital Telephone CODEC Preliminary Data Sheet", 1-64, published in January 1991,
	"AT&T Microelectronics, High Speed Data Pump Chip Sets", published in December 1991,
	"AT&T Microelectronics, T7540 Digital Telephone CODEC Data Sheet and Addendum", 1-4, published in July 1991,
	"AT&T Microelectronics, WE DSP16C Digital Signal Processor/CODEC Preliminary Data Sheet", 1-63, (May 1991)

Examiner	Date Considered
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\*Substitute Disclosure Statement Form (PTO-1449)

\*\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. PTO  
09/975529  
10/10/01

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**OTHER DOCUMENTS**\*\*Examiner  
Initial

(Including Author, Title, Date, Pertinent Pages, Etc.)

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	Tolly, K., "The New Branch Office Routers", <u>Data Communications</u> , p. 58-70, August 1994,

Examiner	Date Considered
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